



EXPRESS MAIL NO. EL 17 567 162 US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Brown et al.
Ser. No. : 08/621,631
Filing Date : March 26, 1996
For : Recovery of Metal Values
Examiner : Miller, E.
Art Unit : 2204

34/Suppl
12/6/99
Holmes

Assistant Commissioner for Patents
Washington, DC 20231

Preliminary Amendment

Sir:

Please amend the above-identified application as follows prior to calculation of the filing fees:

IN THE CLAIMS:

Please cancel claims 1 and 2 without prejudice to their reintroduction in the future.

In addition, please add the following new claim:

Sub 24
21
21. (New) A process for selectively extracting a metal value from a source material which includes fluorine and one or more solubilizable metal values, the solubilizable metal values including tantalum or niobium metal values, the process comprising:



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX,
if applicable

☒ **DUPLICATE**

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

| | |
|------------------------|-------------------|
| Attorney Docket No. | 12317/142215 |
| First Named Inventor | BROWN, et al. |
| Examiner Name | Miller, E. |
| Group/Art Unit | 2204 |
| Express Mail Label No. | EL 147 567 162 US |

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. § 1.53(d), (continued prosecution application (CPA)) of prior application number 08/621,631, filed on March 26, 1996, entitled **Recovery of Metal Values**

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued or a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such a request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on _____ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

12/02/1999 DUONG 00000034 08621631

01 FC:131

760.00 DP

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this Continued Prosecution Application Request Transmittal, and any documents referred to as attached therein are being deposited with the United States Postal Service on this 30th day of November, 1999 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number EL147 567 162 addressed to Box CPA, Assistant Commissioner for Patents, Washington, D.C. 20231.

Heather L. Norman

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|---------------------|---------------------|----------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR § 1.16(c) OR (j)) | 20 - 19* = | 0 | X \$ 18.00 = | \$ 0.00 |
| | INDEPENDENT CLAIMS (37 CFR § 1.16(b) OR (i)) | 6 - 6** = | 0 | X \$78.00 = | 0.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR §1.116(d)) | | | + \$270.00 = | 0.00 |
| | | | | BASIC FEE (37 C.F.R. §1.16) | \$ 760.00 |
| | | | | Total of Above Calculations = | \$ 760.00 |
| | Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27 & 1.28) | | | | \$ |
| | * Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent. | | | TOTAL = | \$ 760.00 |

6. Small entity status:

- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-1435.

- a. ☒ Fees required under 37 C.F.R. § 1.16.
b. ☒ Fees required under 37 C.F.R. § 1.17.
c. ☐ Fees required by this action in the amount of


8. ☒ A check in the amount of \$760.00 is enclosed.9. ☒ Other: Request for Extension of Time Pursuant to 37 CFR 1.136(a)**NOTE:**

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

| | | | | | |
|---------|-------------------------------------|-----------|--------------|----------|--------------|
| NAME | Martha A. Finnegan, Esq. | | | | |
| Address | Cabot Corporation, 157 Concord Road | | | | |
| City | Billerica | State | Ma | Zip Code | 01821 |
| Country | US | Telephone | 978/670-6198 | Fax | 978/670-8027 |

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | |
|---------------------------|---|
| Name (Print/Type) | Charles W. Calkins |
| Signature |  |
| Reg. No. (Attorney/Agent) | 31,814 |
| Date | November 30, 1999 |